

CMS FILES THAT PROVIDE DATA TO STATES ON UPCOMING MEDICARE ELIGIBILITY

D-SNPs can only apply to CMS to conduct default enrollment when their State Medicaid Agency Contract includes provisions indicating the state approves the use of default enrollment, and when the state agrees to provide the plan with advance notice of Medicaid MCO enrollees who have upcoming Medicare eligibility. CMS provides states with several options for obtaining these data. Below is a chart comparing the source and timing of data CMS provides to states via various on-going and ad hoc file exchanges. All provide advance notice of Medicare eligibility, so can be used by the states to identify for their plans those in their Medicaid MCOs who are about to become Medicare eligible. For more information, please contact MMCOEnrollment@cms.hhs.gov

File Name and Description	File Elements								
	Beneficiary Name	Beneficiary Address	Date of Birth	HICN MBI SSN	Part A	Part B	Part D	Date of Disability	Dual Eligibility Status
<p>Medicare Modernization Act File – MMA The MMA file is a data file states submit at least monthly (and up to daily) to identify existing dually eligible beneficiaries to CMS. States also submit records on those Medicaid-only beneficiaries they believe are about to become Medicare eligible. CMS returns a responsive file with Medicare eligibility, entitlement, and enrollment information. CMS originally used the MMA file data to support Part D operations; it now uses it to support operations in all four parts of Medicare.</p>	Yes	No	Yes	HICN, SSN	Yes	Yes	Yes	No	QMB, SLMB, QI, and other full duals. PROspective
<p>Medicare Enrollment Database – EDB The EDB provides for ad hoc data queries from states on Medicare A/B entitlement/eligibility.</p>	Yes	Mailing	Yes	HICN, MBI, SSN	Yes	Yes	No	Yes	No

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<p>Batch Eligibility Query – BEQ The BEQ Request File includes transactions submitted daily by plans to CMS’ Medicare Advantage and Drug (MARx) enrollment and payment system to obtain Medicare eligibility information for prospective plan enrollees. Plans use this data received in the CMS response file to conduct initial eligibility checks for prospective enrollees.</p>	Yes	Mailing & Residence	Yes	HICN	Yes	Yes	Yes	No	LIS Deemed
<p>Territory Beneficiary Query – TBQ The TBQ is an ad hoc query CMS offers to states that returns the same robust range of Medicare data as in the CMS MMA response file, but on an ad hoc basis. States and territories may query CMS daily for Medicare beneficiary eligibility determination and Medicare Beneficiary Database (MBD) returns a file with this information.</p>	Yes	Mailing & Residence	Yes	Claim Account Number, SSN, MBI	Yes	Yes	Yes (also includes SNP indicator)	No	LIS Co-pay level

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<p>Medicare-Medicaid Coordination Prospective Duals File</p> <p>A CMS contractor provides the Prospective Dual File to states, based on querying the Medicare Beneficiary Database. It contains a list of individuals who have already been identified as having Medicaid (from MMA file submissions). It includes information about an individual's Medicare Part A and B entitlement three to four months (and sometimes five to six months) before their Medicare effective date. The Prospective Dual File is available on the CMS contractor's web portal on the second and sixteenth day of every month. States can download the Prospective Dual File from the contractor's web portal or have the contractor push it to them via a secure server. <i>*Note that default enrollment is only available for the very first time an individual is eligible for Medicare A/B. While this Prospective Duals File does identify individuals who do not currently have Medicare A or B but will have it in the near future. It is possible that the individual had been eligible in the past and then lost eligibility. A state would use this file to identify a beneficiary with an upcoming Part A/B eligibility, but would then need to refer to either the MMA or TBQ file to determine if there was a prior A/B entitlement span.</i></p>	Yes	Residence	Yes	Medicare ID (MBI)	Yes	Yes	Yes	No	LIS Deemed Medicaid Status

Resources:

MMA File – MAPD State Plan Users Guide (MAPD SPUG): <https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/mapdhelpdesk/Downloads/MAPD-State-User-Guide-Version-60.pdf>

EDB File – EDB Data Dictionary: to receive a copy of the Medicare Enrollment Database Data Dictionary Version, send an email To: EDBOnline@cms.hhs.gov with Subject: EDB Data Dictionary, and the most current version will be sent to you.

BEQ File – Medicare Advantage and Prescription Drug Plan Communications User Guide (PCUG) Appendices (updated quarterly): <https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/mapdhelpdesk/Downloads/PCUG-Appendices-v113-November-30-2017.pdf>

TBQ File – Medicare Beneficiary Database Suite of Systems (MBDSS), Interface Control Document (ICD), For Territory Beneficiary Query (TBQ): *[there is a 2017 version 5.5, but it is not available on-line yet – only 4.5 is available. Will update when available]* <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/Downloads/TBQData.pdf>

MMCO Prospective Duals File FAQ – Identifying Newly Eligible Medicare-Medicaid Individuals for Enrollment in Capitated Financial Alignment Demonstrations: Frequently Asked Questions (FAQs): http://www.integratedcareresourcecenter.com/PDFs/NewDualsID_FAQ_04-04-17.pdf

Infocrossing's **Medicare and Medicaid Plan's: A Technical Guide to Eligibility and Enrollment Transaction Processing:** http://www.integratedcareresourcecenter.com/PDFs/MMP_EE_Guide.pdf

Questions? Contact MMCO Enrollment at MMCOEnrollment@cms.hhs.gov